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Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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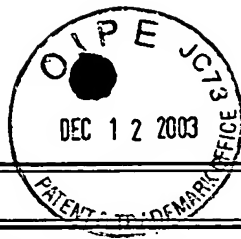
EFS ID: 52216  
Application ID: 10064744  
Title of Invention: Contingent convertible financial instruments  
First Named Inventor: James Birle  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2002-08-12  
Effective Receipt Date: 2003-12-12  
Submission Type: Information Disclosure Statement  
Filing Type:  
Confirmation number: 6609  
Attorney Docket Number: MLCO.P-001



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DEC 19 2003  
GROUP 3600

Total Fees Authorized:

Digital Certificate Holder: cn=Carl Oppedahl,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: c4af0db1331cb46fbb0e0159ac7115f040a8f965

**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

<b>Title of Invention</b>	Contingent convertible financial instruments								
<table><tr><td>Application Number: 10/064744</td><td rowspan="4"><b>RECEIVED</b> DEC 19 2003 <b>GROUP 3600</b></td></tr><tr><td>Date: 2002-08-12</td></tr><tr><td>First Named Applicant: Mr. James R. Birle Jr.</td></tr><tr><td>Confirmation Number: 6609</td></tr><tr><td colspan="2">Attorney Docket Number: MLCO.P-001</td></tr></table>		Application Number: 10/064744	<b>RECEIVED</b> DEC 19 2003 <b>GROUP 3600</b>	Date: 2002-08-12	First Named Applicant: Mr. James R. Birle Jr.	Confirmation Number: 6609	Attorney Docket Number: MLCO.P-001		
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Date: 2002-08-12									
First Named Applicant: Mr. James R. Birle Jr.									
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<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Mr. Carl Oppedahl Registered Number: 32,746</td><td>/s/</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Mr. Carl Oppedahl Registered Number: 32,746	/s/	Attorney		
Submitted by:	Elec. Sign.	Sign. Capacity							
Mr. Carl Oppedahl Registered Number: 32,746	/s/	Attorney							
<table><tr><td><b>Documents being submitted</b></td><td><b>Files</b></td></tr><tr><td>us-ids</td><td>mlcop001k-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>		<b>Documents being submitted</b>	<b>Files</b>	us-ids	mlcop001k-usidst.xml		us-ids.dtd		us-ids.xsl
<b>Documents being submitted</b>	<b>Files</b>								
us-ids	mlcop001k-usidst.xml								
	us-ids.dtd								
	us-ids.xsl								
<b>Comments</b> <p>We do not believe there is any fee due with this filing. However, if the Commissioner deems necessary, fees related to this filing may be charged to our Deposit Account</p>									



Number 15-0610.

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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

DEC 12 2003

Title of  
Invention

Contingent convertible financial instruments

Application Number: 10/064744  
Confirmation Number: 6609  
First Named Applicant: James Birle  
Attorney Docket Number: MLCO.P-001  
Search string: ( 6321212 or 20020099640 or 20020147670 or  
20030009406 or 20030115128 or  
20030163400 ).pn.

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## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6321212	2001-11-20	Lange, Jeffrey			

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020099640	2002-07-25	Lange, Jeffrey			
	2	20020147670	2002-10-10	Lange, Jeffrey			
	3	20030009406	2003-01-09	Ross, Ivan			
	4	20030115128	2003-06-19	Lange, et al.			
	5	20030163400	2003-08-28	Ross, et al.			

Signature

Examiner Name	Date